

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>( Not for submission under 37 CFR 1.99)</i>	Application Number		09993370
	Filing Date		2001-11-16
	First Named Inventor		Paul England
	Art Unit		2132
	Examiner Name		Minh Dinh
	Attorney Docket Number		MS1-953US

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
MD	1	7013388		2006-03-14	Fisk, ; et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

#### U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

#### FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
--------------------	---------	---	----------------

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>( Not for submission under 37 CFR 1.99)</i>	Application Number	09993370
	Filing Date	2001-11-16
	First Named Inventor	Paul England
	Art Unit	2132
	Examiner Name	Minh Dinh
	Attorney Docket Number	MS1-953US

	1		<input type="checkbox"/>
--	---	--	--------------------------

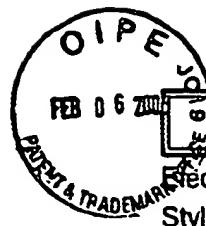
If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Minh Dinh/	Date Considered	07/12/2006
--------------------	-------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Manifest-Based Trusted Agent Management in a Trusted Operating System Environment				
Application Number:	09/993370				
Confirmation Number:	5758				
First Named Applicant:	Paul England				
Attorney Docket Number:					
Art Unit:	2134 2132				
Examiner:	Gregory Morse Minh Dinh				
Search string:	( 6073124 or 6092189 or 6105137 or 6112181 or 6118873 or 6138119 or 6148402 or 6148387 or 6157721 or 6175917 or 6185683 or 6185678 or 6189100 or 6192473 or 6212636 or 6223284 or 6229894 or 6230285 or 6237786 or 6240185 or 6253193 or 6263431 or 6272629 or 6292569 or 6327652 or 6330588 or 6338139 or 6341373 or 6363486 or 6363488 or 6367012 or 6381741 or 6389402 or 6389537 or 6427140 or 6449367 or 6477252 or 6477648 or 6480961 or 6560706 or 5787427 or 20010025281 or 20020007452 or 20020069365 or 20020107803 or 20020120936 or 20020152173 ).pn.				
RECEIVED FEB 10 2004 Technology Center 2100					
US Patent Documents					
Note: Applicant is not required to submit a paper copy of cited US Patent Documents					
init	Cite.No.	Patent No.	Date	Patentee	Kind Class Subclass
M9	1	6073124	2000-06-06	Krishnan et al.	
	2	6092189	2000-07-18	Fisher et al.	
	3	6105137	2000-08-15	Graunke et al.	
	4	6112181	2000-08-29	Shear et al.	
	5	6118873	2000-09-12	Lotspeich et al.	
	6	6138119	2000-10-24	Hall et al.	
	7	6148402	2000-11-14	Campbell	
	8	6148387	2000-11-14	Galasso et al.	
V	9	6157721	2000-12-05	Shear et al.	

10	6175917	2001-01-16	Arrow et al.
11	6185683	2001-02-06	Ginter et al.
12	6185678	2001-02-06	Arbaugh et al.
13	6189100	2001-02-13	Barr et al.
14	6192473	2001-02-20	Ryan, Jr. et al.
15	6212636	2001-04-03	Boyle et al.
16	6223284	2001-04-24	Novoa et al.
17	6229894	2001-05-08	Van Oorschot et al.
18	6230285	2001-05-08	Sadowsky et al.
19	6237786	2001-05-29	Ginter et al.
20	6240185	2001-05-29	Van Wie et al.
21	6253193	2001-06-26	Ginter et al.
22	6263431	2001-07-17	Lovelace et al.
23	6272629	2001-08-07	Stewart
24	6292569	2001-09-18	Shear et al.
25	6327652	2001-12-04	England et al.
26	6330588	2001-12-11	Freeman
27	6338139	2002-01-08	Ando et al.
28	6341373	2002-01-22	Shaw
29	6363486	2002-03-26	Knapton, III
30	6363488	2002-03-26	Ginter et al.
31	6367012	2002-04-02	Atkinson et al.
32	6381741	2002-04-30	Shaw
33	6389402	2002-05-14	Ginter et al.
34	6389537	2002-05-14	Davis et al.
35	6427140	2002-07-30	Ginter et al.
36	6449367	2002-09-10	Van Wie et al.
37	6477252	2002-11-05	Faber et al.
38	6477648	2002-11-05	Schell et al.
39	6480961	2002-11-12	Rajasekharan et al.
40	6560706	2003-05-06	Carbajal et al.
41	5787427	1998-07-28	Benantar et al.

### US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
MD	1	20010025281	2001-09-27	Benantar et al.			
	2	20020007452	2002-01-17	Traw et al.			
	3	20020069365	2002-06-06	Howard et al.			
	4	20020107803	2002-08-08	Lisanke et al.			
	5	20020120936	2002-08-29	Del Beccaro et al.			
✓	6	20020152173	2002-10-17	Rudd			

Signature

Examiner Name	Date
<i>Mukund Rudd</i>	8/12/05

O I P E  
FEB 9 6 2004  
P A T E N T & T R A D E M A R K S

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Manifest-Based Trusted Agent Management in a Trusted Operating System Environment																																																																																						
Application Number:	09/993370																																																																																						
Confirmation Number:	5758																																																																																						
First Named Applicant:	Paul England																																																																																						
Attorney Docket Number:																																																																																							
Art Unit:	2134 2132																																																																																						
Examiner:	Gregory Morse Minh Dinh																																																																																						
Search string:	( 4827508 or 4969189 or 4977594 or 5023907 or 5050213 or 5140634 or 5276311 or 5335334 or 5410598 or 5473690 or 5473692 or 5491827 or 5544246 or 5557518 or 5654746 or 5664016 or 5671280 or 5721781 or 5745886 or 5757919 or 5796824 or 5812662 or 5812980 or 5841869 or 5872847 or 5892900 or 5892902 or 5892904 or 5910987 or 5915019 or 5917912 or 5920861 or 5919257 or 5933498 or 5940504 or 5943422 or 5944821 or 5949876 or 5953502 or 5958050 or 5963980 or 5982891 or 5991399 or 5991876 or 6006332 or 6009274 or 6009401 or 6026166 or 6032257 or 6038551 ).pn.																																																																																						
<b>RECEIVED</b> FEB 10 2004 Technology Center 2100																																																																																							
US Patent Documents																																																																																							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents																																																																																							
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>init</th> <th>Cite.No.</th> <th>Patent No.</th> <th>Date</th> <th>Patentee</th> <th>Kind</th> <th>Class</th> <th>Subclass</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>1</td> <td>4827508</td> <td>1989-05-02</td> <td>Shear</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>2</td> <td>4969189</td> <td>1990-11-06</td> <td>Ohta et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>3</td> <td>4977594</td> <td>1990-12-11</td> <td>Shear</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>4</td> <td>5023907</td> <td>1991-06-11</td> <td>Johnson et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>5</td> <td>5050213</td> <td>1991-09-17</td> <td>Shear</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>6</td> <td>5140634</td> <td>1992-08-18</td> <td>Guillou et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>7</td> <td>5276311</td> <td>1994-01-04</td> <td>Hennige</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>8</td> <td>5335334</td> <td>1994-08-02</td> <td>Takahashi et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>9</td> <td>5410598</td> <td>1995-04-25</td> <td>Shear</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	1	1	4827508	1989-05-02	Shear					2	4969189	1990-11-06	Ohta et al.					3	4977594	1990-12-11	Shear					4	5023907	1991-06-11	Johnson et al.					5	5050213	1991-09-17	Shear					6	5140634	1992-08-18	Guillou et al.					7	5276311	1994-01-04	Hennige					8	5335334	1994-08-02	Takahashi et al.					9	5410598	1995-04-25	Shear			
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																																																																																
1	1	4827508	1989-05-02	Shear																																																																																			
	2	4969189	1990-11-06	Ohta et al.																																																																																			
	3	4977594	1990-12-11	Shear																																																																																			
	4	5023907	1991-06-11	Johnson et al.																																																																																			
	5	5050213	1991-09-17	Shear																																																																																			
	6	5140634	1992-08-18	Guillou et al.																																																																																			
	7	5276311	1994-01-04	Hennige																																																																																			
	8	5335334	1994-08-02	Takahashi et al.																																																																																			
	9	5410598	1995-04-25	Shear																																																																																			

10	5473690	1995-12-05	Grimonprez et al.
11	5473692	1995-12-05	Davis
12	5491827	1996-02-13	Holtey
13	5544246	1996-08-06	Mandelbaum et al.
14	5557518	1996-09-17	Rosen
15	5654746	1997-08-05	McMullan, Jr. et al.
16	5664016	1997-09-02	Preneel et al.
17	5671280	1997-09-23	Rosen
18	5721781	1998-02-24	Deo et al.
19	5745886	1998-04-28	Rosen
20	5757919	1998-05-26	Herbert et al.
21	5796824	1998-08-18	Hasebe et al.
22	5812662	1998-09-22	Hsu et al.
23	5812980	1998-09-22	Asai
24	5841869	1998-11-24	Merklin et al.
25	5872847	1999-02-16	Boyle et al.
26	5892900	1999-04-06	Ginter et al.
27	5892902	1999-04-06	Clark
28	5892904	1999-04-06	Atkinson et al.
29	5910987	1999-06-08	Ginter et al.
30	5915019	1999-06-22	Ginter et al.
31	5917912	1999-06-29	Ginter et al.
32	5920861	1999-07-06	Hall et al.
33	5919257	1999-07-06	Trostle
34	5933498	1999-08-03	Schneck et al.
35	5940504	1999-08-17	Griswold
36	5943422	1999-08-24	Van Wie et al.
37	5944821	1999-08-31	Angelo
38	5949876	1999-09-07	Ginter et al.
39	5953502	1999-09-14	Heitbig, Sr.
40	5958050	1999-09-28	Griffin et al.
41	5963980	1999-10-05	Coulier et al.
42	5982891	1999-11-09	Ginter et al.
43	5991399	1999-11-23	Graunke et al.
44	5991876	1999-11-23	Johnson et al.
45	6006332	1999-12-21	Rabne et al.

MD	46	6009274	1999-12-28	Fletcher et al.
	47	6009401	1999-12-28	Horstmann
	48	6026166	2000-02-15	LeBourgeois
	49	6032257	2000-02-29	Olarig et al.
↓	50	6038551	2000-03-01	Barlow et al.

Signature

Examiner Name	Date
<i>Milt Dard</i>	8/12/05